Transfer Certificate Affidavit (No U.S. Assets)

STEP 1. ACCOUNT INFORMATION Account Title Account Number | - | City State and Country Social Security or Tax ID Number **STEP 2. AFFIDAVIT** , being duly sworn, hereby depose and say: Ι. 1. I am over the age of twenty-one years and reside at the following address: Address (no P.O. box) City State Zip/Postal Code Country of Citizenship , (the "Decedent") died on the _____ day 2. , 20_____. of 3. The Decedent was born on the _____ day of ____ , 20 _____, Country of ______. in the City of 4. The Decedent was a citizen of ______ and a resident of _____ 5. At the time of death, the Decedent's legal domicile was at Address (no P.O. box) City State Zip/Postal Code Country 6. The Decedent was never a citizen or resident of the Untied States, never held a United States green card tax nor filed any U.S. gift tax returns. 7. I am (check one of the following): The duly appointed executor of the Decedent's will

- Administrator of the Decedent's estate
- Spouse or lineal heir of the Decedent
- Survivor of Joint Tenancy
- Other recipient of the decedent's property
 - Relationship

IF YOU CHECKED "OTHER," PLEASE EXPLAIN YOUR RELATIONSHIP WITH THE DECEDENT. Transfer Certificate Affidavit (No U.S. Assets)

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8. I have substantial knowledge of the Decedent's personal and business affairs, including the pertinent facts concerning the Decedent's worldwide assets and, more particularly, the extent and value of the Decedent's holdings that are considered to be within the United States for estate tax purposes ("U.S. situs assets") as described under Sections 2103 through 2108 of the United States Internal Revenue Code of 1986, as amended (the "Code").

9. I am a duly qualified in the United States as an executor or administrator and I have reviewed and understand Sections 2103 through 2108 of the Code or I have obtained advice from a qualified tax professional to determine whether any of the Decedent's assets are U.S. situs assets.

10. I have made a diligent search of Decedent's files and records in connection with this Affidavit, including any and all bank, brokerage and financial institution accounts in which the Decedent had a beneficial ownership interest.

11. The Decedent did not possess any U.S. situs assets. I understand U.S. situs assets include, but are not limited to, U.S. situs assets that the Decedent beneficially owned at the time of death.

12. I understand and acknowledge that the Decedent was the beneficial owner of property if the Decedent:

- a. owned it outright;
- b. owned it as a joint tenant with right of survivorship;
- c. owned it as a tenant by the entirety;
- d. owned it with a surviving spouse as community property; or
- e. made a revocable transfers of such property, in trust or otherwise.

13. I understand and acknowledge that U.S. situs assets include but are not limited to:

- a. real property located in the United States (including land, houses, summer house, condominiums, and commercial property);
- b. tangible personal property located in the United States (including physical currency issued by any country);
- c. stock in a U.S. corporation (including common stock, preferred stock, shares in a mutual fund, interests in unregistered funds organized in the U.S. (e.g., hedge funds organized as a Delaware LLC or partnership) and stock in a cooperative);
- d. bonds, debt obligations and securities of a U.S. person (including a U.S. citizen, resident, corporation, partnership, the U.S. government, any state or political subdivision thereof and the District of Columbia).

14. I make this Affidavit in order to induce Pershing LLC ("Pershing"), which holds property (including cash) held in accounts of the Decedent, to transfer, pay or deliver such property in reliance on my representation that the Decedent has no U.S. situs assets or other property subject to taxation pursuant to section 2101 and following of the Code.

15. The Decedent had the following account(s) at Pershing: .

Account Number	Account Number		
Account Number	Account Number		
Account Number	Account Number		

16. The above listed account(s) were never used in connection with any trade or business in the United States and the Decedent was never engaged in trade or business in the United States. As such, the Decedent did not directly or indirectly own or receive any form of remuneration from any trade or business organized in or with a physical location in the United States.

17. I hereby bind myself, my heirs, executors and administrators and my successors and assigns to indemnify and hold harmless Pershing and its affiliates and both of their officers, directors, employees, representatives, agents, successors and assigns (the "indemnified persons") for any and all losses, costs, damages or expenses, including but not limited to, U.S. estate taxes, interest and penalties, and attorney fees, incurred by the indemnified persons by reason of any of them having made a transfer, payment, or delivery of any property in their custody, or by having taken any other action in consideration or in reliance upon the statements herein contained.

18. I have attached complete and true copies of the following: (check all that apply)

Decedent's death certificate (original);

Last will and testament (with all codicils and amendments),

Court document appointing the executor or administrator of the Decedent's estate,

Marriage certificate (if Decedent's spouse)

Any other relevant documents (list):

Other Documents Attached

Transfer	· Certificate	Affidavit	(No	U.S. Assets)
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Account Number

STEP 3. SIGNATURE	
Printed Name	Date
Title	
Signature	
x	

Notarization State of				
County of				
I certify that on this day of, 20, personally appeared before me and acknowledged under oath, to my satisfaction, that he/she is named in and personally signed this document, and that he/she signed, sealed and delivered this document as his/her voluntary act and deed.				
Notary Public Signature				
My Commission Expires		[SEAL]		