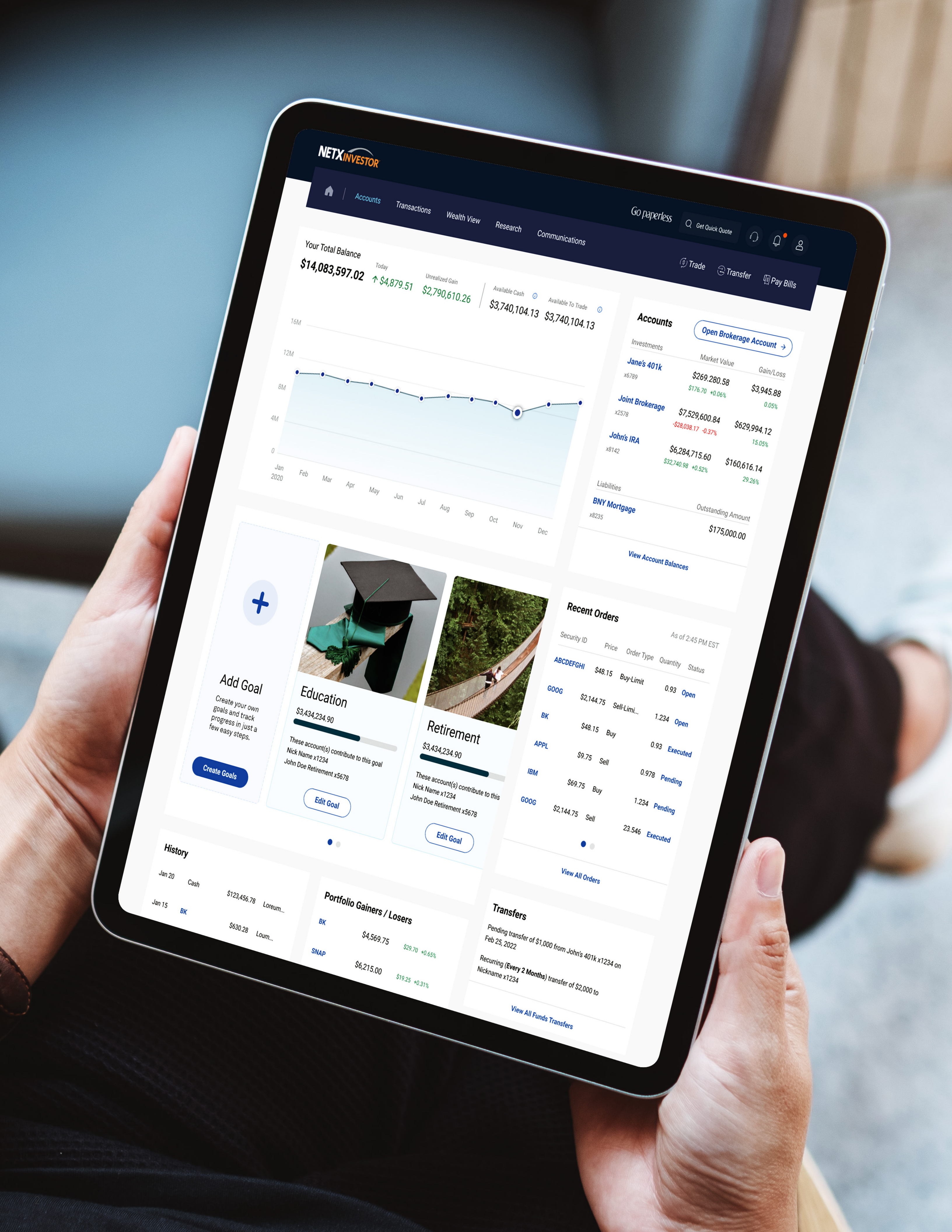
NETXINVESTOR®

Better Serve Your Clients, Digitally


NETX INVESTOR
Accounts
Transactions
Wealth View
Research
Communications
Go paperless
Get Quick Quote
Trade
Transfer
Pay Bills
Your Total Balance
$14,083,597.02
Today
$4,879.51
Unrealized Gain
$2,790,610.26
Available Cash
$3,740,104.13
Available To Trade
$3,740,104.13
16M
12M
8M
4M
0
Jan 2020
Feb
Mar
Apr
May
Jun
Jul
Aug
Sep
Oct
Nov
Dec
Accounts
Open Brokerage Account
Investments
Market Value
Gain/Loss
Jane's 401k
x6789
$269,280.58
$176.70 +0.06%
$3,945.88
0.05%
Joint Brokerage
x2578
$7,529,600.84
-$28,038.17 -0.37%
$629,994.12
15.05%
John's IRA
x8142
$6,284,715.60
$32,740.98 +0.52%
$160,616.14
29.26%
Liabilities
BNY Mortgage
x8235
Outstanding Amount
$175,000.00
View Account Balances
Add Goal
Create your own goals and track progress in just a few easy steps.
Create Goals
Education
$3,434,234.90
These account(s) contribute to this goal
Nick Name x1234
John Doe Retirement x5678
Edit Goal
Retirement
$3,434,234.90
These account(s) contribute to this
Nick Name x1234
John Doe Retirement x5678
Edit Goal
Recent Orders
As of 2:45 PM EST
Security ID
Price
Order Type
Quantity
Status
ABCDEFGHI
$48.15
Buy-Limit
0.93
Open
GOOG
$2,144.75
Sell-Limi...
1.234
Open
BK
$48.15
Buy
APPL
$9.75
Sell
0.93
Executed
IBM
$69.75
Buy
0.978
Pending
GOOG
$2,144.75
Sell
1.234
Pending
23.546
Executed
View All Orders
History
Jan 20
Cash
$123,456.78
Loreum...
Jan 15
BK
$630.28
Loum...
Portfolio Gainers / Losers
BK
$4,569.75
$29.70 +0.65%
SNAP
$6,215.00
$19.25 +0.31%
Transfers
Pending transfer of $1,000 from John's 401k x1234 on Feb 25, 2022
Recurring (Every 2 Months) transfer of $2,000 to Nickname x1234
View All Funds Transfers

EXCEED EXPECTATIONS

Investor expectations are changing. They want instant access to information and sophisticated tools to manage their finances. With Pershing's investor portal, NetXInvestor, you can provide them with both, and much more.

As a convenient, secure, and intuitive digital solution, NetXInvestor gives your clients 24/7 access to their account information online and through the mobile app. The experience is modern and easy-to-use with powerful self-service options and industry-leading flexibility.

Maximize the Experience

NetXInvestor is configurable to the unique needs of your firm and the clients you serve. Exciting features and content, including market information, investing tools, self-service functionality and online trading are available for your clients, all controlled by your firm.

NetXInvestor Helps You

IMPROVE CLIENT EXPERIENCE

You can empower clients with convenient online access to their accounts and other powerful tools. The solution is available at their fingertips whenever and wherever they need it, designed to help improve the overall client experience.

STRENGTHEN YOUR BRAND

Take advantage of options to add your firm's own logo, brand elements, and custom disclosures to the online and mobile displays using our self-service site builder tool. You even have the option to select the specific content and features you want clients to access.

GAIN EFFICIENCY

Streamline service and make it easy for clients to complete basic tasks, from accessing their portfolio information, finding an account statement, updating contact information and more. There are also more advanced self-service options available such as account opening, asset movement, and digital advice to help build and scale your business.

KEY FEATURES

With NetXInvestor, your clients can easily obtain information about their accounts.

ACCOUNT INFORMATION

Give your clients an on-demand look at their account information with value over time charts, graphs, tools to set and track financial goals, and other features designed to help them better understand their portfolio.

DIGITAL AUTHORIZATION

Streamlined and secure way for you to obtain client approval on digital asset movement requests.

MARKET RESEARCH

Extensive content offering from industry-leading research providers, including advanced screeners, and research on thousands of stocks, options, ETFs and mutual funds.

eDELIVERY

Go paperless and have clients sign up for eDelivery of account-related communications including statements, confirmations, prospectuses and much more.

FINANCIAL GOALS

Provide tools to help your clients set and track financial goals directly from the homepage – all without subscribing to an added service.

MY CLIENT VIEW

Allows you, through NetX360+, to view what your client is seeing in NetXInvestor, helping to streamline collaboration and portfolio reviews.

SELF-SERVICE OPTIONS

Offer clients the self-service capabilities they've come to expect and gain back time to focus on work that strengthens relationships.

ACCOUNT OPENING

With standard and custom fields, your clients can easily open accounts online. Account funding can be integrated to the process to help reduce the number of unfunded accounts on your books.

DIGITAL ADVICE

Address client demand for online advice with Pershing's Digital Portfolios or integrations with other third-party providers for added choice.

TRADING

Clients can enter orders online for stocks, options, exchange-traded funds (ETFs) and mutual funds. Funds available to trade and the estimated transaction value are clearly displayed for overall convenience.

ASSET MOVEMENT

Leverage a digital experience and enable instant money transfers through ACH, real-time payments, journals and more.

FINANCIAL PLANNING

Help clients achieve their goals and navigate financial milestones. Integration with leading third-party tools offers opportunity for financial planning.

WEALTH VIEW

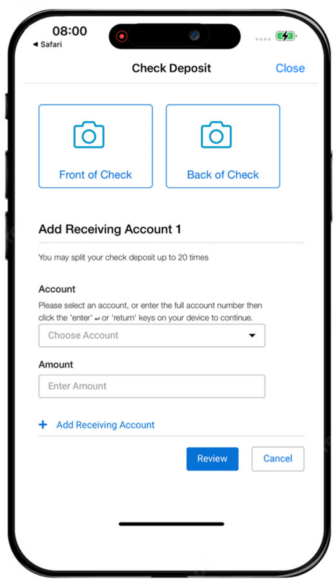
Clients can aggregate held-away accounts, access performance and personal financial management, budgeting and spending tools, which can help create opportunity for you to have more in-depth conversations.

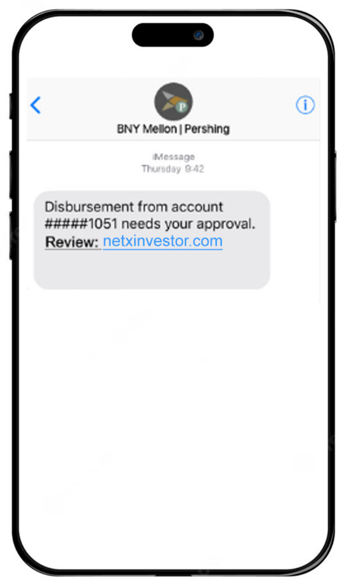
MODERN AND SECURE

- We have adopted some of the latest in design thinking and fine-tuned the experience to how clients want to view their wealth – making it intuitive and easy to use.
- You can have peace of mind that client account information is secure—password protection, multi-factor authentication, "time-out" features, and biometric identification for mobile devices are in place to help secure accounts.

ANYTIME, ANYWHERE ACCESS

On the road, at home, or in the office, your clients have convenient access to their accounts through the investor portal mobile app on tablet or smartphone (Apple/ Android). It includes all of the same great features as online access and added benefits like biometric authentication to log in quickly and easily with facial or fingerprint recognition.





ARE YOUR CLIENTS LOOKING FOR THE MOBILE APP?

Your clients can download the mobile app by searching for "NetXInvstor" from their device on the Apple App Store or Google Play Store for Android.

DO YOUR CLIENTS WANT A QUICK WAY TO FUND ACCOUNTS?

No problem. The mobile check deposit feature is a convenient way for your clients to deposit checks from anywhere using the mobile app.[1]

NEED A FASTER WAY TO RECEIVE CLIENT APPROVAL ON WIRE REQUESTS?

Digital Authorization can be used to secure client approvals for fed fund wires, with additional transaction types coming soon.

[1] Mobile check deposit is available for iPhone, iPad, Android phone and tablet users. It requires iOS 13.0 or higher, Android 8.0 or higher.

SAVE TIME AND PAPER

Did you know that most Americans with brokerage accounts prefer accessing their investor documents electronically via eDelivery rather than through the postal system?[2] Our electronic delivery service—eDelivery—is a simpler, speedier and more secure way for clients to receive communications and account-related documents online, on-demand and at their convenience.

GO PAPERLESS

[2] Source: "Infographic: It's Time for Default e-Delivery," SIFMA, August 2022

FOR MORE INFORMATION

To learn more about NetXInvestor, please contact your Relationship Manager.

BNY MELLON | PERSHING

pershing.com

One Pershing Plaza, Jersey City, NJ 07399

WRQ-1704 NetXInvestor Overview Brochure_BD Professional