



**IV. TRANSFERS DUE TO DEATH AND DIVORCE**

- TRANSFER DUE TO DIVORCE
- TRANSFER DUE TO DEATH OF THE ACCOUNT HOLDER (Accounts with multiple primary beneficiaries must establish an inherited account for each beneficiary using his or her name and Social Security Number. Only spousal beneficiaries are allowed to treat the IRA as if it were their own and transfer it, in their name, to a Traditional Participant IRA.)

CASH: \$ \_\_\_\_\_; and/or SECURITIES: DESCRIPTION \_\_\_\_\_ QUANTITY \_\_\_\_\_  
 DESCRIPTION \_\_\_\_\_ QUANTITY \_\_\_\_\_

(If more space is needed, attach a separate sheet and check the box below)  
 PLEASE SEE ATTACHED SHEET FOR PROCESSING INSTRUCTIONS

**V. PARTICIPANT SIGNATURE**

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

**PLEASE RETURN TO:**  
 Pershing LLC  
 Attention: Retirement Products Department  
 One Pershing Plaza  
 Jersey City, New Jersey 07399