

Wealth Management Solutions

Independent since 1985

For over 35 years, our goal has been to grow and to preserve the wealth that our clients have accumulated and to ensure that it will sustain them through retirement and provide support for future generations. With more than \$12 billion USD in assets under management as of August 31, 2021, Bolton's network of financial professionals in the US, Europe and Latin America serve the financial needs of high net worth clients on a global basis

Global Strength and Stability of BNY Mellon

Clearing and custody of client accounts is provided by **BNY Mellon Pershing**, a wholly owned subsidiary of the **Bank of New York Mellon**. BNY Mellon Pershing, founded in 1939, is the world's largest correspondent clearing and custody firm with \$2.3 trillion in client assets and \$2.0 billion in net capital.* The firm is a member of the New York Stock Exchange, FINRA and SIPC. Bank of New York Mellon is the oldest US banking concern founded by Alexander Hamilton in 1784 and is ranked by *Moody's* among the safest large US banks with over \$45.0 trillion in assets under custody and administration*.

Customized Investment Solutions

Clients have access to the full range of customized portfolio services including discretionary and non-discretionary accounts where clients can choose the fee or commission-based relationship which best suits their objectives. Our separately managed account platforms include more than 300 money management firms focusing on domestic and international equity and fixed income positions with a complete range of investment styles and objectives. We also offer new issue securities, structured products, FOREX trading and other alternative investments.

Financial and Estate Planning

We offer the full range of planning services for clients to manage their wealth, transfer it to family members and reduce exposure to income and estate taxes including:

- Consolidated Reporting of all Assets and Liabilities
- Projecting Liquidity and Cash Flow Needs
- Re-Allocating Assets to Reduce Risks
- Planning for Retirement, Education and Estate Transfers
- Establishing Tax Advantaged Structures

Our advanced planning tools and technologies allow our clients to develop comprehensive planning strategies.



INDEPENDENT SINCE 1985
MEMBER FINRA SIPC

CLEARING AND CUSTODY THROUGH **BNY MELLON | PERSHING**

Bolton at a Glance

- Member FINRA SIPC since 1985
- 55 Affiliated Offices Worldwide
- \$11.8 Billion Assets under Management (as of Q3 2021)
- Custodian: BNY MELLON | PERSHING
- SEC Registered Investment Advisor
- 13,000 Client Accounts
- 110 Financial Advisors
- Home Office: 579 Main St. Bolton, MA 01740

International Client Services

Our affiliated financial advisors provide the full range of wealth management services to a global clientele. They offer an industry leading collection of products and services uniquely tailored to international clients including:

- International trustee services
- Multi-Currency accounting and reporting
- Account holdings in over 50 foreign currencies
- Trading capability on all major market exchanges
- Wide availability offshore mutual funds
- Portfolio lending solutions
- Worldwide access to cash with BNY Mellon Visa/Debit and ATM card
- International American Express Credit Card

Clients can hold cash positions and securities in foreign currencies and can receive monthly account statements of assets expressed as a value in both US and in foreign currencies.

Strict Privacy and Confidentiality Protections

US financial institutions now offer the world's best privacy and confidentiality protections to account holders. In addition to benefiting from the financial strength and stability of BNY Mellon Pershing, clients, who are fully compliant with applicable tax laws, enjoy the superior account information protections available only through US financial institutions. With state-of-the-art cybersecurity systems and procedures, we offer multiple layers of security and activity monitoring to prevent unauthorized access to account information.

*Figures as of June 30, 2021



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Securities offered through Bolton Global Capital
Advisory accounts offered through Bolton Global Asset Management
Members FINRA, SIPC

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